IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Washington, D.C. 20231 on March 9, 2000.

Applicant

Henry C. Yuen

Application No.:

09/449.887

Filed

November 30, 1999

Title

SMART AGENT BASED ON HABIT, STATISTICAL

INFERENCE AND PSYCHO-DEMOGRAPHIC

PROFILING

Grp./Div.

2776

Examiner

To be assigned

Docket No.

36524/RRT/I148

INFORMATION DISCLOSURE STATEMENT

Post Office Box 7068 Pasadena, CA 91109-7068 March 9, 2000

Assistant Commissioner for Patents Washington, D.C. 20231

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

Raymond R. Tabandeh

Reg. No. 43,945 626/795-9900

RRT/dsz

PTO-1449, w/10 references Enclosures:

DSZ PAS237664.1-*-3/9/00 8:03 AM